



PATENT

Case Docket No. MASIMO.104CDVC

Date: January 30, 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Massi E. Kiani, et al.  
Appl. No. : 10/624,446  
Filed : July 22, 2003  
For : PULSE OXIMETRY SENSOR  
ADAPTER  
Examiner : Unknown  
Group Art Unit : Unknown

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

January 30, 2004

(Date)

Sharon S. Ng, Reg. No. 53,383

TRANSMITTAL LETTER

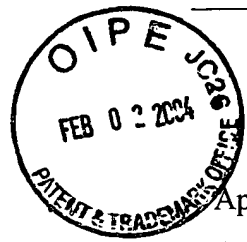
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) An Information Disclosure Statement.
- (X) A PTO Form 1449 with 20 references.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.
- (X) Return prepaid postcard.

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## INFORMATION DISCLOSURE STATEMENT

Applicant : Massi E. Kiani, et al.  
App. No. : 10/624,446  
Filed : July 22, 2003  
For : PULSE OXIMETRY SENSOR ADAPTER  
Examiner : Unknown  
Group Art Unit : Unknown

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Enclosed is form PTO-1449 listing 20 references that are of record in U.S. Patent Nos. 5,995,855 issued November 30, 1999, 6,349,228 issued February 19, 2002 and 6,597,933 issued July 22, 2003, which are parent of this application, and are relied upon for an earlier filing date under 35 U.S.C. § 120. Copies of the references are not submitted pursuant to 37 C.F.R. § 1.98(d).

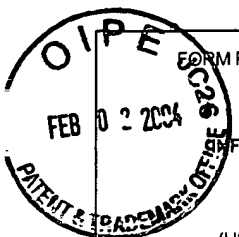
This Information Disclosure Statement is being filed before the receipt of a first Office Action on the merits, and presumably no fee is required in accordance with 37 C.F.R. § 1.97(b)(3). If a first Office Action on the merits was mailed before the mailing date of this Statement, the Commissioner is authorized to charge the fee set forth in 37 C.F.R. § 1.17(p) to Deposit Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: 1/30/04

By: Sharon S. Ng  
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FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
MASIMO.104CDVCAPPLICATION NO.  
10/624,446INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT

(USE SEVERAL SHEETS IF NECESSARY)

APPLICANT  
Massi E. Kiani, et al.FILING DATE  
July 22, 2003GROUP  
Unknown

## U.S. PATENT DOCUMENTS

| EXAMINER<br>INITIAL |  | DOCUMENT NUMBER    | DATE     | NAME               | CLASS | SUBCLASS | FILING DATE<br>(IF APPROPRIATE) |
|---------------------|--|--------------------|----------|--------------------|-------|----------|---------------------------------|
|                     |  | 4,684,245          | 8/4/97   | Goldring           |       |          |                                 |
|                     |  | 5,249,576          | 10/5/93  | Goldberger, et al. |       |          |                                 |
|                     |  | 5,287,853          | 2/22/94  | Vester, et al.     |       |          |                                 |
|                     |  | 5,387,122          | 2/7/95   | Golderberg, et al. |       |          |                                 |
|                     |  | 5,632,272          | 5/27/97  | Diab, et al.       |       |          |                                 |
|                     |  | 5,758,644          | 6/2/98   | Diab, et al.       |       |          |                                 |
|                     |  | 5,769,785          | 6/23/98  | Diab, et al.       |       |          |                                 |
|                     |  | 5,782,757          | 7/21/98  | Diab, et al.       |       |          |                                 |
|                     |  | 5,807,247          | 9/15/98  | Merchant, et al.   |       |          |                                 |
|                     |  | 5,818,985          | 10/6/98  | Merchant, et al.   |       |          |                                 |
|                     |  | 5,830,137          | 11/98    | Scharf             |       |          |                                 |
|                     |  | 5,995,855 (issued) | 11/30/99 | Kiani, et al.      |       |          |                                 |
|                     |  | 5,995,858          | 11/99    | Kinast             |       |          |                                 |
|                     |  | 6,002,952          | 12/14/99 | Diab, et al.       |       |          |                                 |
|                     |  | 6,061,584          | 5/00     | Lovejoy, et al.    |       |          |                                 |
|                     |  | 6,349,228 (issued) | 2/19/02  | Kiani, et al.      |       |          |                                 |
|                     |  | 6,597,933 (issued) | 7/22/03  | Kiani, et al.      |       |          |                                 |
|                     |  |                    |          |                    |       |          |                                 |

## FOREIGN PATENT DOCUMENTS

| EXAMINER<br>INITIAL |  | DOCUMENT NUMBER | DATE    | COUNTRY | CLASS | SUBCLASS | TRANSLATION |    |
|---------------------|--|-----------------|---------|---------|-------|----------|-------------|----|
|                     |  |                 |         |         |       |          | YES         | NO |
|                     |  | EP 0538631 A1   | 9/21/92 | Europe  |       |          |             |    |

| EXAMINER<br>INITIAL | OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)                                |
|---------------------|-------------------------------------------------------------------------------------------------------|
|                     | Copy of October 4, 2001 Communication from European Patent Office with copy of European Search Report |
|                     | Copy of Copending Application, U.S. Application No.: 09/604,340; filed June 27, 2000                  |

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| EXAMINER                                                                                                                                                                                                                                 | DATE CONSIDERED |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------|
| *EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT. |                 |